



Mille Lacs Band of Ojibwe Indians
Gaming Regulatory Authority
Office of Gaming Regulation and Compliance

RESOLUTION 02-09

**A RESOLUTION APPROVING CHANGES TO REGULATION DGR 14
DISCRETIONARY COMPLIMENTARY SERVICES OR ITEMS**

WHEREAS, the Gaming Regulatory Authority Board is the duly-appointed governing body of the Gaming Regulatory Authority, and

WHEREAS, the Gaming Regulatory Authority Board has the power and duty to develop, adopt, and promulgate regulations in accordance with 15 MLBSA §305, and

WHEREAS, the Gaming Regulatory Authority Board has complied with 15 MLBSA §305 (b)(2), changes to Regulation DGR 14-Discretionary Complimentary Services or Items was posted for a 30 day comment period beginning September 11, 2008 and closing October 10, 2008, and

WHEREAS, the Gaming Regulatory Authority Board has received comments during the posted comment period and in accordance with 15 MLBSA §305 (b)(3) has reviewed all comments and has made such changes to Regulation DGR 14-Discretionary Complimentary Services or Items as it deemed reasonable and appropriate.

NOW, THEREFORE IT IS RESOLVED, that the Gaming Regulatory Authority Board approves changes to Regulation DGR 14-Discretionary Complimentary Services or Items and establishes the effective date for changes as January 1, 2009.

WE DO HEREBY CERTIFY, that the foregoing resolution was duly concurred with and adopted at a special meeting of the Gaming Regulatory Authority Board, a quorum of members being present, held on the 4th day of December 2008 at Hinckley, Minnesota by a vote of 3 FOR, 0 AGAINST, SILENT.

IN WITNESS WHEREOF, we the Gaming Regulatory Authority Board hereunto cause to have set the signature of the Gaming Regulatory Authority Chairperson to be affixed to this Resolution.

Gaming Regulatory Authority Board Chairperson

OFFICIAL SEAL OF THE BAND



Mille Lacs Band of Ojibwe Indians
Gaming Regulatory Authority
Office of Gaming Regulation and Compliance

December 4, 2008

NOTICE OF ADOPTION

Pursuant to 15 MLBSA § 305(b)(2), this serves as the official notice of adoption for the following:

Changes to Detailed Gaming Regulation 14, Complimentary Services or Items

Summary of changes:

Changes to Section 1, updated to *"The Gaming Enterprise shall submit to the Gaming Regulatory Authority Board (GRA Board) for its approval, procedures for the authorization, issuance, and tracking of discretionary complimentary services and items, including cash and non-cash gifts given to guests. Such procedures shall include, but shall not be limited to, the following: (A) The procedures by which the Gaming Enterprise delegates to its associates the authority to approve the issuance of complimentary services and items; (B) The procedures by which conditions or limits, if any, are established and modified (including limits based on relationships between the authorizer and recipient); (C) Effective provisions for audit purposes including, but not limited to, documentation of overrides, voids, and adjustments; and (D) The procedures by which complimentary services and items not maintained by the player management system are accounted for.*

Changes to Section 2 (a – e), updated to Section 2 (A – E).

Change to Section 3 "ten dollars (\$10)" changed to "fifty dollars(\$50)".

Effective Date of changes: January 1, 2009

Copies of this regulation can be obtained at the Office of Gaming Regulation and Compliance.

Gaming Regulatory Authority Board Chairperson

12/4/08

Date



Mille Lacs Band of Ojibwe Indians
Gaming Regulatory Authority
Detailed Gaming Regulations

Discretionary Complimentary Services or Items

Document No. DGR – 14

Effective: November 10, 2005

Section 1. Procedures. The Gaming Enterprise shall submit to the Gaming Regulatory Authority Board (GRA Board) for its approval, procedures for the authorization, issuance, and tracking of discretionary complimentary services and items, including cash and non-cash gifts given to guests. Such procedures shall include, but shall not be limited to, the following:

- A. The procedures by which the Gaming Enterprise delegates to its associates the authority to approve the issuance of complimentary services and items;
- B. The procedures by which conditions or limits, if any, are established and modified (including limits based on relationships between the authorizer and recipient);
- C. Effective provisions for audit purposes including, but not limited to, documentation of overrides, voids, and adjustments; and
- D. The procedures by which complimentary services and items not maintained by the player management system, still subject to the limits outlined in Section 3, are accounted for.

Section 2. Reports. At least monthly, accounting, information technology, or audit personnel that cannot grant or receive complimentary privileges shall prepare reports that include the following information:

- A. Name of customer who received the complimentary service or item;
- B. Name(s) of authorized issuer of the complimentary service or item;
- C. The actual cash value of the complimentary service or item;
- D. The type of complimentary service or item (i.e., food, beverage, etc.); and
- E. Date the complimentary service or item was issued.

Section 3. De Minimis Exception. The report required by Section 2 above shall not be required to include complimentary services or items if such services or items are valued at fifty dollars (\$50) or less.

Section 4. Review of Reports. The internal audit or accounting departments shall review the reports required in Section 2 above at least monthly. These reports shall be made available to the GRA Board and any other entity designated by the Joint Session of Band Assembly, and the NIGC upon request.

Section 5. Noncompliance. Failure on the part of any person to comply with the requirements of this section may result in adverse licensing action, up to and including revocation of a license.

History. Approved by Band Assembly on November 10, 2005.

Changes to Section 1, updated to *“The Gaming Enterprise shall submit to the Gaming Regulatory Authority Board (GRA Board) for its approval, procedures for the authorization, issuance, and tracking of discretionary complimentary services and items, including cash and non-cash gifts given to guests. Such procedures shall include, but shall not be limited to, the following: (A) The procedures by which the Gaming Enterprise delegates to its associates the authority to approve the issuance of complimentary services and items; (B) The procedures by which conditions or limits, if any, are established and modified (including limits based on relationships between the authorizer and recipient); (C) Effective provisions for audit purposes including, but not limited to, documentation of overrides, voids, and adjustments; and (D) The procedures by which complimentary services and items not maintained by the player management system are accounted for.*



Mille Lacs Band of Ojibwe Indians
Gaming Regulatory Authority
Detailed Gaming Regulations

Discretionary Complimentary Services or Items

Document No. DGR – 14

Effective: November 10, 2005

Changes to Section 2 (a – e), updated to Section 2 (A – E).

Change to Section 3 “ten dollars (\$10)” changed to “fifty dollars(\$50)” approved by the Gaming Regulatory Authority Board on December 1, 2008. **Effective date of changes January 1, 2009. Each Gaming Enterprise must come into compliance with the approved changes to this regulation no later than January 1, 2009.**